SEC Form 4

Π

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL OMB Number: 3235-0287

Estimated average burden	
hours per response:	0.5

1. Name and Address of Reporting Person* <u>NICOLETTI RALPH J</u>		n*	2. Issuer Name and Ticker or Trading Symbol <u>NEWELL BRANDS INC</u> [NWL]	(Check	tionship of Reporting Pers all applicable) Director Officer (give title	son(s) to Issuer 10% Owner Other (specify
(Last) 221 RIVER STR	(First) REET	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 06/08/2018	X	below) EVP, Chief Financ	below)
(Street) HOBOKEN (City)	NJ (State)	07030 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	idual or Joint/Group Filing Form filed by One Rep Form filed by More that Person	orting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	06/08/2018		М		31,368	A	\$0 ⁽¹⁾	31,368	D	
Common Stock	06/08/2018		F		12,077	D	\$24.68	19,291	D	
Common Stock								14,570	Ι	Ralph Nicoletti Revocable Trust
Common Stock								193	I	By IRA
Common Stock								25	Ι	Revocable Trust

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 7. Title and Amount 9. Number of 11. Nature 2 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 8. Price of 10. Derivative Conversion Transaction Date Execution Date. Expiration Date of Securities Derivative derivative Ownership of Indirect of Security (Instr. 3) if any (Month/Day/Year) Underlying Derivative Security or Exercise (Month/Day/Year) Code (Instr. Derivative (Month/Day/Year) Security Securities Form: Beneficial Price of Direct (D) 8) Securities (Instr. 5) Beneficially Ownership Acquired (A) or Disposed Derivative (Instr. 3 and 4) Owned Following or Indirect (Instr. 4) (I) (Instr. 4) Security Reported of (D) (Instr 3, 4 and 5) Transaction(s) (Instr. 4) Amount or Numbe Expiration Date of Code v (A) (D) Exercisable Date Title Shares Restricted Commo 06/08/2018 (2) (2) 31,368 (1) Μ 31,368 \$<mark>0</mark> 0 D Stock

Explanation of Responses:

1. Restricted stock units convert into Newell Brands Inc. Common Stock on a one-for-one basis.

2. The reporting person vested in the remaining one-half of the total RSUs that were granted on June 8, 2016.

Remarks:

Units

/s/ Leah Lower, attorney-in-fact 06/11/2018

for Ralph Nicoletti

** Signature of Reporting Person Date

Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.