SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL OMB Number: 3235-028

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	hours per response:	0.5

I I Name and Address of Reporting Feison			2. Issuer Name and Ticker or Trading Symbol <u>NEWELL BRANDS INC.</u> [NWL]		tionship of Reporting Pe all applicable) Director	erson(s) to Issuer 10% Owner	
,			—	X	Officer (give title below)	Other (specify below)	
(Last)	(First) (Middle)		3. Date of Earliest Transaction (Month/Day/Year)		,	,	
. ,			11/08/2019		Pres., Learning & I	Development	
C/O NEWEL	L BRANDS IN	C.					
C/O NEWELL BRANDS INC. 6655 PEACHTREE DUNWOODY ROAD		OODY ROAD					
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv	ridual or Joint/Group Filir	ng (Check Applicable	
(Street)				Line)			
· ,					Form filed by One Reporting Person		
ATLANTA GA	GA	30328			Form filed by More that	n One Departing	
					Person	an One Reporting	
(City)	(State)	(Zip)					
	(Suite)	(4r-y)					

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities A Disposed Of (5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	11/08/2019		М		14,878(1)	Α	\$ <mark>0</mark>	28,254	D	
Common Stock	11/08/2019		F		5,205	D	\$20.37	23,049	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units	(2)	11/08/2019		М			14,878	11/08/2019	(3)	Common Stock	14,878	\$0	0	D	

Explanation of Responses:

1. All of the restricted stock units granted on November 8, 2016, vested based on satisfaction of the relevant performance conditions.

2. Restricted stock units convert into Newell Brands Inc. common stock on a one-for-one basis.

3. N/A

Remarks:

On November 8, 2016, the Reporting Person was granted 14,878 restricted stock units scheduled to vest on the third anniversary of the grant date, subject to the achievement of certain performance-based criteria. Due to administrative error at the Company's record keeper, a Form 4 filing was not made in a timely manner when the vesting and withholding of shares to cover taxes occurred on November 8, 2019. A Form 4 is being filed as soon as practicable after discovery of the vesting.

<u>/s/ Raj Dave, Attorney-in-fact</u> for Laurel Hurd

** Signature of Reporting Person Date

01/29/2020

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.