SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number

OMB Number:	3235-0287				
Estimated average burden					
hours per response:	0.5				

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

							. ,	_		· · ·								
1. Name and Address of Reporting Person* <u>MATHER COURTNEY</u>					2. Issuer Name and Ticker or Trading Symbol <u>NEWELL BRANDS INC.</u> [NWL]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner						
(Last) (First) (Middle) C/O NEWELL BRANDS INC. 6655 PEACHTREE DUNWOODY ROAD						3. Date of Earliest Transaction (Month/Day/Year) 10/30/2020							Officer (give title Other (specify below) below)					
(Street) ATLANTA GA 30328					4. 1	4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City) (State) (Zip)																		
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of Security (Instr. 3) 2. Transaction 2A. Deemed 3. 4. Securities Acquired (A) or 5. Amount of 6. Ownership 7. Nature of																	
1. Title of Security (Instr. 3) 2. Tran Date (Month					h/Day/Year) Exec		2A. Deemed Execution Date, f any Month/Day/Year)		4. Securities Acquired (A) o Disposed Of (D) (Instr. 3, 4 a		7. 3, 4 and 5)	and 5) Securities Beneficially Following R Transaction		Owned (D) or I Reported (I) (Inst		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
									Code V	Amount	(A) or (D)			(Instr. 3 and 4)				
									ired, Disp options, c				ined					
1. Title of Derivative Security (Instr. 3)	vative Conversion Date Executio urity (Instr. or Exercise (Month/Day/Year) if any		3A. Deemed Execution Da if any (Month/Day/)	ate,	4. 5. Number of Transaction Code (Instr. 8) Acquired (A Disposed of (Instr. 3, 4 a) 5)		ve Expiration Date es (Month/Day/Year) d (A) or d of (D)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	e derivative Securities Beneficially Owned Following Reported		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)			
					Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaci (Instr. 4)				
Deferred Compensation Phantom Stock ⁽¹⁾⁽²⁾⁽³⁾⁽⁴⁾	(5)	10/30/2020			Α		1,840.3171		(6)	(7)	Common Stock	1,840.317	1 \$0	1,840.3	3171	D		
Deferred Compensation Phantom Stock ⁽¹⁾⁽²⁾⁽³⁾⁽⁸⁾	(5)								(6)	(7)	Common Stock	2,007.480	2	2,007.48	302 ⁽⁹⁾	D		
Deferred Compensation Phantom Stock ⁽¹⁾⁽²⁾⁽³⁾⁽¹⁰⁾	(5)								(6)	(7)	Common Stock	2,722.356	4	2,722.35	64 ⁽¹¹⁾	D		
Deferred Compensation Phantom Stock ⁽¹⁾⁽²⁾⁽³⁾⁽¹²⁾	(5)								(6)	(7)	Common Stock	1,726.221	5	1,726.22	15 ⁽¹³⁾	D		
Deferred Compensation Phantom Stock ⁽¹⁾⁽²⁾⁽³⁾⁽¹⁴⁾	(5)								(6)	(7)	Common Stock	1,671.417	,	1,671.4	17 ⁽¹⁵⁾	D		
Deferred Compensation Phantom Stock ⁽¹⁾⁽²⁾⁽³⁾⁽¹⁶⁾	(5)								(6)	(7)	Common Stock	2,595.694	7	2,595.69	47 ⁽¹⁷⁾	D		
Deferred Compensation Phantom Stock ⁽¹⁾⁽²⁾⁽³⁾⁽¹⁸⁾	(5)								(6)	(7)	Common Stock	2,467.814	2	2,467.81	42 ⁽¹⁹⁾	D		
Deferred Compensation Phantom Stock ⁽¹⁾⁽²⁾⁽³⁾⁽²⁰⁾	(5)								(6)	(7)	Common Stock	1,688.749	5	1,688.74	95 ⁽²¹⁾	D		
Deferred RSU phantom stock ⁽²²⁾	(23)								(23)	(7)	Common Stock	9,345.120	6	9,345.12	.06 ⁽²⁴⁾	D		
Deferred RSU phantom stock ⁽²⁵⁾	(26)								(26)	(7)	Common Stock	6,997.635	;	6,997.63	35 ⁽²⁷⁾	D		

Explanation of Responses:

1. Pursuant to the 2008 Deferred Compensation Plan, as amended (the "DCP"), non-employee directors who earn quarterly cash retainer fees for their services on the Company's Board may elect to defer receipt of their quarterly cash fees until after the end of the director's service on the Board, and to direct the investment of the deferred cash into phantom stock units which track the performance of the Company's Common Stock for the duration of the deferral period. The number of phantom stock units so acquired is calculated by dividing the deferred cash retainer amount by the closing price for the Company's Common Stock on the date the cash retainer is payable. Each unit is the economic equivalent of one share of Common Stock of the Company.

2. Dividends payable on the Company's Common Stock also accrue with respect to phantom stock units, and phantom stock units are subject to dividend reinvestment during the deferral period.

3. The aggregate value of the phantom stock units, including any additional phantom stock units acquired through dividend reinvestment during the deferral period, will be paid out in cash to the reporting person after the end of his service on the Board. At such time, the cash value of all of the phantom stock units will be calculated based on the closing price for the Company's Common Stock on the payment date, in accordance with the DCP and the reporting person's elections for his director compensation for the year in which the units were earned, which elections are on file with the Company.

4. These phantom stock units represent the reporting person's fourth quarter 2020 director fees issued to the reporting person on October 30, 2020, based on the Company's closing price per share on that date of \$17.66.

5. At the end of the deferral period, the cash value of the phantom stock units will be calculated based on the closing price for the Company's Common Stock on the payment date, in accordance with the DCP and the reporting person's elections for his director compensation for the year in which the units were earned, which elections are on file with the Company.

6. The reporting person has elected to defer settlement of the cash value of the phantom stock units until after the end of his service on the Board of the Company, pursuant to the terms of the DCP. 7. N/A

8. These phantom stock units represent the reporting person's third quarter 2020 director fees issued to the reporting person on July 31, 2020, based on the Company's closing price per share on that date.

9. The reported total includes 25.7728 additional phantom stock units acquired by the reporting person since the date of his last report pursuant to a dividend reinvestment feature of the DCP. The additional phantom stock units

acquired pursuant to the dividend reinvestment feature will be settled for cash after the end of the reporting person's service on the Company's Board.

10. These phantom stock units represent the reporting person's second quarter 2020 director fees issued to the reporting person on May 1, 2020, based on the Company's closing price per share on that date.

11. The reported total includes 34.9507 additional phantom stock units acquired by the reporting person since the date of his last report pursuant to a dividend reinvestment feature of the DCP. The additional phantom stock units acquired pursuant to the dividend reinvestment feature will be settled for cash after the end of the reporting person's service on the Company's Board.

12. These phantom stock units represent the reporting person's first quarter 2020 director fees issued to the reporting person on February 3, 2020, based on the Company's closing price per share on that date.

13. The reported total includes 22.1619 additional phantom stock units acquired by the reporting person since the date of his last report pursuant to a dividend reinvestment feature of the DCP. The additional phantom stock units acquired pursuant to the dividend reinvestment feature will be settled for cash after the end of the reporting person's service on the Company's Board.

14. These phantom stock units represent the reporting person's fourth quarter 2019 director fees issued to the reporting person on November 1, 2019, based on the Company's closing price per share on that date. 15. The reported total includes 21.4583 additional phantom stock units acquired by the reporting person since the date of his last report pursuant to a dividend reinvestment feature of the DCP. The additional phantom stock units acquired pursuant to the dividend reinvestment feature will be settled for cash after the end of the reporting person's service on the Company's Board.

16. These phantom stock units represent the reporting person's third quarter 2019 director fees issued to the reporting person on August 1, 2019, based on the Company's closing price per share on that date.

17. The reported total includes 33.3246 additional phantom stock units acquired by the reporting person since the date of his last report pursuant to a dividend reinvestment feature of the DCP. The additional phantom stock units acquired pursuant to the dividend reinvestment feature will be settled for cash after the end of the reporting person's service on the Company's Board.

18. These phantom stock units represent the reporting person's second quarter 2019 director fees issued to the reporting person on May 1, 2019, based on the Company's closing price per share on that date.

19. The reported total includes 31.6828 additional phantom stock units acquired by the reporting person since the date of his last report pursuant to a dividend reinvestment feature of the DCP. The additional phantom stock units acquired pursuant to the dividend reinvestment feature will be settled for cash after the end of the reporting person's service on the Company's Board.

20. These phantom stock units represent the reporting person's first quarter 2019 director fees issued to the reporting person on February 1, 2019, based on the Company's closing price per share on that date.

21. The reported total includes 21.6808 additional phantom stock units acquired by the reporting person since the date of his last report pursuant to a dividend reinvestment feature of the DCP. The additional phantom stock units acquired pursuant to the dividend reinvestment feature will be settled for cash after the end of the reporting person's service on the Company's Board.

22. Represents a vested award of 9,085 restricted stock units ("RSUs") first granted on May 7, 2019, pursuant to the Newell Rubbermaid Inc. 2013 Incentive Plan. The reporting person elected to defer settlement on the scheduled vesting date and the RSUs instead converted to an equal number of phantom stock units, in accordance with the DCP. The 9,085 phantom stock units will settle on a one for one basis for shares of the Company's

Common Stock after the end of the reporting person's service on the Company's Board. 23. The reporting person's 9,085 phantom stock units will convert to shares of the Company's Common Stock on a one for one basis after the end of the reporting person's service on the Company's Board, in accordance with

24. The reported total includes 119.9764 additional phantom stock units acquired by the reporting person since the date of his last report pursuant to a dividend reinvestment feature of the DCP. The additional phantom stock units acquired pursuant to the dividend reinvestment feature will be settled for cash after the end of the reporting person's service on the Company's Board.

25. Represents a vested award of 6,422 restricted stock units ("RSUs") first granted on May 15, 2018, pursuant to the Newell Rubbermaid Inc. 2013 Incentive Plan. The reporting person elected to defer settlement on the scheduled vesting date and the RSUs instead converted to an equal number of phantom stock units, in accordance with the DCP. The 6,422 phantom stock units will settle on a one for one basis for shares of the Company's Common Stock after the end of the reporting person's service on the Company's Board.

26. The reporting person's 6,422 phantom stock units will convert to shares of the Company's Common Stock on a one for one basis after the end of the reporting person's service on the Company's Board, in accordance with the DCP.

27. The reported total includes 89.8385 additional phantom stock units acquired by the reporting person since the date of his last report pursuant to a dividend reinvestment feature of the DCP. The additional phantom stock units acquired pursuant to the dividend reinvestment feature will be settled for cash after the end of the reporting person's service on the Company's Board.

Remarks:

<u>/s/ Raj Dave, attorney-in-fact for</u> Courtney Mather <u>11/03/2020</u>

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.