SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Martin Douglas L | | 2. Date of Event Requiring Statement (Month/Day/Year) 09/04/2012 | | 3. Issuer Name and Ticker or Trading Symbol <u>NEWELL RUBBERMAID INC</u> [NWL] | | | | |
|--|------|---|--------------------|--|--|------------------------------------|---|---|
| (Last) (First) (Middle) C/O NEWELL RUBBERMAID INC. 3 GLENLAKE PARKWAY | | | | 4. Relationship of Reporting Perso (Check all applicable) Director X Officer (give title below) Executive VP, 0 | 10% Owner Other (specify below) | | 5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person | |
| | 0328 | _ | | | | | Form filed by More than One Reporting Person | |
| Table I - Non-Derivative Securities Beneficially Owned | | | | | | | | |
| 1. Title of Security (Instr. 4) | | | | 2. Amount of Securities Beneficially Owned (Instr. 4) | - | | | Beneficial Ownership |
| Common Stock | | | | 1,584 | D | | | |
| Common Stock | | | | 159 | Ι | IRA Account | | |
| Common Stock | | | | 2,164 | Ι | 401(| ς) | |
| Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | |
| 1. Title of Derivative Security (Instr. 4) | | 2. Date Exercisable an Expiration Date (Month/Day/Year) | | d 3. Title and Amount of Securities Underlying Derivative Security (Instr. | | 4. Conversion or Exercise | 5. Ownership Form: | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
| | | Date Exercisable | Expiration Date | n Title | Amount or Number of Shares | Price of Derivative Security | Direct (D) or Indirect (I) (Instr. 5) | |
| Option (Right to Buy) | | (1) | 11/01/2012 | 2 Common Stock | 6,200 | 32.45 | D | |
| Option (Right to Buy) | | (2) | 05/08/2013 | 3 Common Stock | 2,400 | 29.34 | D | |
| Option (Right to Buy) | | (3) | 05/13/2014 | 4 Common Stock | 12,000 | 22.98 | D | |
| Option (Right to Buy) | | (4) | 02/10/2015 | 5 Common Stock | 12,000 | 22.38 | D | |
| Option (Right to Buy) | | (5) | 02/08/2010 | 6 Common Stock | 9,600 | 23.99 | D | |
| Option (Right to Buy) | | (6) | 02/06/2017 | 7 Common Stock | 12,000 | 30.37 | D | |
| Option (Right to Buy) | | (7) | 02/13/2018 | 8 Common Stock | 12,000 | 23.32 | D | |
| Option (Right to Buy) | | (8) | 02/10/2020 | 0 Common Stock | 9,701 | 13.64 | D | |
| Restricted Stock Units | | (9) | (9) | Common Stock | 3,685 | 0 | D | |
| Restricted Stock Units | | (10) | (10) | Common Stock | 2,656 | 0 | D | |
| Option (Right to Buy) | | (11) | 02/09/202 | 1 Common Stock | 6,788 | 19.68 | D | |
| Restricted Stock Units | | (12) | (12) | Common Stock | 2,896 | 0 | D | |
| Restricted Stock Units | | (13) | (13) | Common Stock | 4,624 | 0 | D | |

Explanation of Responses:

1. Options granted on November 1, 2002. Options are fully vested.

2. Options granted on May 8, 2003. Options are fully vested.

3. Options granted on May 13, 2004. Options are fully vested.

4. Options granted on February 10, 2005. Options are fully vested.

5. Options granted on February 8, 2006. Options are fully vested.

6. Options granted on February 6, 2007. Options are fully vested.

7. Option granted on February 13, 2008. Options become exercisable in annual installments of 20% of the number of options granted.

8. Option granted on February 10, 2010. Options become exercisable on the third anniversary of the date of grant.

9. Restricted Stock Units granted on February 10, 2010. The reporting person shall become fully vested in his or her award upon the third anniversary of the date of the grant of the award, but the award may vest earlier in the event of death, disability or retirement. Prior to the vesting of the award, if the Company pays a dividend on its common stock, the reporting person will receive an amount in cash equal in value to the dividends that the reporting person would have received had the reporting person been the actual owner of the number of shares of Newell Rubbermaid common stock represented by the restricted stock units.

10. Restricted Stock Units granted on February 9, 2011. The reporting person shall become fully vested in his or her award upon the third anniversary of the date of the grant of the award, but the award may vest earlier in the event of death, disability or retirement. Prior to the vesting of the award, if the Company pays a dividend on its common stock, the reporting person will receive an amount in cash equal in

value to the dividends that the reporting person would have received had the reporting person been the actual owner of the number of shares of Newell Rubbermaid common stock represented by the restricted stock units.

11. Options granted on February 9, 2011. Options become exercisable on the third anniversary of the date of grant.

12. Restricted Stock Units granted on June 30, 2011. The reporting person shall become fully vested in his or her award upon the third anniversary of the date of the grant of the award, but the award may vest earlier in the event of death, disability or retirement. Prior to the vesting of the award, if the Company pays a dividend on its common stock, the reporting person will receive an amount in cash equal in value to the dividends that the reporting person would have received had the reporting person been the actual owner of the number of shares of Newell Rubbermaid common stock represented by the restricted stock units.

13. Restricted Stock Units granted on February 8, 2012. This award vests in annual installments of 33 and 1/3 % of the number of RSUs awarded, but the award may vest earlier in the event of death, disability or retirement. Prior to the vesting of the award, if the Company pays a dividend on its common stock, the reporting person will receive an amount in cash equal in value to the dividends that the reporting person would have received had the reporting person been the actual owner of the number of shares of Newell Rubbermaid common stock represented by the restricted stock units.

Remarks:

/s/ Christine E. Hermann,

Attorney in Fact for Douglas L. 09/12/2012 Martin

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.